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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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SJS  
FEB 14 2002



APPLICATION NUMBER

09/923,752

FILING/RECEIPT DATE

08/07/2001

FIRST NAMED APPLICANT

Maneesh Jain

ATTORNEY DOCKET NUMBER

2002850-0015

24280

Choate, Hall & Stewart  
Exchange Place  
53 State Street  
Boston, MA 02109

DOCKETED BY: LDV  
KTFMP  
DUE DATE: 10/14/02 (1)  
11/14/02 (2)  
12/14/02 (3)  
1/14/02 (4)  
2/14/02 (5)  
3/14/02 Star

CONFIRMATION NO. 3181

FORMALITIES LETTER

OC000000006557014\*

Date Mailed: 09/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice MUST be returned with the reply.*

*ll-A*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/20/2002 SFELEKE1 00000047 031721 09923752

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Jain *et al.* Examiner: \_\_\_\_\_  
Serial No.: 09/923,752 Art Unit: 1645  
Filed: August 7, 2001  
For: DEVICES AND METHODS TO FORM A RANDOMLY ORDERED  
ARRAY OF MAGNETIC BEADS AND USES THEREOF

**BOX MISSING PARTS**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

Sir:

**TRANSMITTAL OF RESPONSE TO THE NOTICE TO FILE MISSING PARTS**

In response to the Notice to File Missing Parts of Application mailed by the U.S. Patent and Trademark Office on September 14, 2001, enclosed herewith please find the following:

1. Transmittal Letter;
2. Notice to File Missing Parts of Nonprovisional Application;
3. Petition for Extension of Time;
4. Executed Appointment of Attorney;
5. Executed Declaration;
6. Executed Written Assertion of Small Entity Status;
7. Check in the amount of \$460.00; and
8. Return Postcard

Additionally, please charge any further fees associated with this filing or apply any credits to our Deposit Account No. 03-1721.

Respectfully submitted,

*Monica R. Gerber*  
Monica R. Gerber, M.D., Ph.D.  
Reg. No. 46,724

Choate, Hall & Stewart  
Exchange Place  
53 State Street  
Boston, MA 02109  
(617) 248-5000  
Dated: January 30, 2002  
3359920\_1.DOC

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231 on *25/02*  
*JSC*

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COPY OF PAPERS  
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ATTORNEY DOCKET NO.: 2002850-0015

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Jain *et al.*

Serial No.:

09/923,752

Filing Date:

August 7, 2001

Title:

DEVICES AND METHODS TO FORM A RANDOMLY ORDERED  
ARRAY OF MAGNETIC BEADS AND USES THEREOF

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

Sir:

WRITTEN ASSERTION OF SMALL ENTITY STATUS  
UNDER 37 CFR §1.27(a)(3)  
NON-PROFIT ORGANIZATION

The undersigned hereby asserts entitlement to small entity status as a non-profit organization under 37 CFR §1.27(a)(3) on behalf of the Board of Trustees of Leland Stanford Junior University.

This assertion is made on the ground that the Board of Trustees of Leland Stanford Junior University is either:

a university or other institution of higher education located in any country;

an organization of the type described in section 501(c)(3) of the Internal Revenue Code of 1986 (26 USC §501(c)(3)) and exempt from taxation under section 501(a) of the Internal Revenue Code (26 USC §501(a));

any nonprofit scientific or educational organization qualified under a nonprofit organization statute of a state of this country (35 USC §201(i)); or

any nonprofit organization, located in a foreign country, that would qualify as a nonprofit organization under paragraphs (b) or (c) above if it were located in this country,

and *has not* assigned, granted, conveyed, or licensed, and is under no obligation under contract or law to assign, grant, convey, or license, any rights in the above-referenced patent application to any party who does not qualify for small entity status either as a person, a small business concern, or a nonprofit organization under 37 CFR §1.27.

Respectfully Submitted,

*Katharine Ku*

Name: KATHARINE KU  
Title: DIRECTOR, TECHNOLOGY LICENSING  
Date: 1/15/02.